



PARTNER

DAVID FITZGERALD

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David Fitzgerald is a Partner in the firm's Financial Services and Corporate Groups. David's practice focuses on investment funds, securities, joint ventures, regulatory compliance and investment advisers. He regularly structures and organizes hedge funds, private equity funds, funds of funds, separately managed accounts and hybrid funds. Additionally, he advises private fund managers on structure, compensation, employment and investor issues, and other matters relating to management companies.

Drawing on his 16 years of experience as an institutional Chief Compliance Officer and General Counsel, David provides ongoing advice to investment advisers on securities law issues, including SEC filings. His practice also involves counseling clients in SEC regulatory matters, including compliance issues related to registered advisers.

David came to Sadis after over six years as the General Counsel and Chief Compliance Officer of Gabelli & Company Investment Advisers, Inc., the registered alternative asset manager affiliated with the Gabelli group. While at Gabelli, David was responsible for all legal, regulatory and compliance matters involving the adviser, including fund formation. During that time, he also advised the adviser's public company parent on its SEC filing obligations and on a number of corporate finance matters, including the spinoff of its registered broker dealer to its shareholders and the launch of its SPAC platform.

David has over twenty-three years of experience in the legal and financial services industries. Prior to joining Gabelli, David was the Associate General Counsel of Sciens Capital, a registered investment adviser and the head of UBS's leveraged finance legal team in Europe. David was also previously associated with the law firms of Shearman & Sterling LLP and Cahill Gordon & Reindel.

EDUCATION

J.D., Fordham University School of Law, 1998, Associate Editor Fordham Law Review

B.A., Georgetown University, 1991

ADMISSIONS

New York

News

- March 2nd, 2023 Steven Huttler, David Fitzgerald, and Seth Lebowitz Featured at Stafford Webinar
- July 6, 2022 David Fitzgerald Promoted to Partner