



PARTNER

**RON S. GEFFNER**

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Ron S. Geffner is a founding member of Sadis, he participates on the Executive Committee and also oversees the Financial Services group. He regularly structures, organizes and counsels private investment vehicles, investment advisory organizations, broker-dealers, commodity pool operators and other investment fiduciaries. Ron also routinely counsels clients in connection with regulatory investigations and actions. His broad background with Federal and State securities laws, and the rules, regulations and customary practices of the United States Securities & Exchange Commission ("SEC"), Financial Industry Regulatory Authority, Commodities Futures Trading Commission and various other regulatory bodies,

enables him to provide strategic guidance to a diverse clientele. He provides legal services to hundreds of hedge funds, private equity funds and venture capital funds organized in the United States and offshore.

Ron began his legal career with the SEC, where he investigated and prosecuted violations of the Federal Securities laws with an emphasis on enforcement in connection with violations of the Investment Advisers Act of 1940 and the Investment Company Act of 1940. He also assisted Federal and State criminal agencies, such as the Federal Bureau of Investigation, the U.S. Attorney's Office and the Attorney General's Office, in their investigations of possible criminal violations of Federal and State securities laws.

Prior to co-founding Sadis & Goldberg, Ron was associated with two other New York City-based law firms, where he represented domestic and offshore private investment vehicles, as well as broker-dealers, registered investment advisers and registered investment companies.

He began his corporate legal career as in-house counsel in the Investment Management Industry Services group of PricewaterhouseCoopers LLP, where he provided legal advice regarding investment advisers, registered investment companies and broker-dealers.

Ron is often interviewed as a legal expert in the securities industry. He has appeared on Fox News, CBS Morning Show, CBS Evening News with Dan Rather, Squawk Box, Power Lunch and Closing Bell on CNBC, British Broadcasting Channel and Bloomberg Radio. He is regularly quoted in The New York Times, The Wall Street Journal, Bloomberg News, Barron's, Barron's Online, Reuters, Dow Jones, Financial Times, New York Newsday, London Daily News, TheStreet.com, Private Equity Week and other national and international publications.

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## **PRACTICE AREAS**

Family Office

## **EDUCATION**

J.D., Benjamin N. Cardozo School of Law, 1991

B.A., Rutgers University, 1988

## **ADMISSIONS**

New York

New Jersey

## **MEMBERSHIPS & AFFILIATIONS**

- Board of Directors, Hedge Fund Association Ltd., 2008 – 2015
- Vice President, Hedge Fund Association Ltd., 2010 – 2015
- Young President Organization (YPO – WPO), 2010 – 2015

## **HONORS & AWARDS**

- New York Metro Super Lawyer, New York Metro Super Lawyer Magazine 2017-2023
- "2008 Guide to the World's Leading Investment Funds Lawyers," Legal Media Group, in association with the International Financial Law Review, 2008
- "Presidential Who's Who: The Presidential Registry," 2009

- “Who’s Who Among Executives and Professionals Throughout the World,” Legal Industry, Madison Who’s Who, 2010
- “US (New York) – Best Private Funds/Hedge Funds Lawyer of the Year,” ACQ Law Awards, 2013
- “Who’s Who Legal: The International Who’s Who of Private Funds Lawyers,” Law Business Research Ltd., 2008 – 2016
- “Who’s Who Legal: The International Who’s Who of Business Lawyers,” Law Business Research Ltd., 2008 – 2013

## News

- April 28, 2026 Ron Geffner interviewed by Keycrew Journal
- October 31, 2024 Sadis Represented Katana Financial LLC in the Sale of Algorithmic Trading Software
- September 25, 2024 Ron Geffner Quoted in the New York Post
- November 28, 2023 Ron Geffner featured on Todd Ault Podcast
- November 28, 2023 Ron Geffner quoted in The New York Times
- November 28, 2023 Ron Geffner recently appeared on Miss Understood podcast
- September 14, 2023 Re-Visiting the SEC Life Settlements Task Force More Than a Decade Later - Life Risk News
- September 1, 2023 What Happens When a Bank Fails (And How You Can Protect Yourself Now) - Ron Geffner discusses SVB Failure.
- August 22, 2023 Ron Geffner quoted in 7 Best Cryptocurrency ETFs to Buy.
- July 18, 2023 Ron Geffner Interviewed by Amy Parvaneh
- July 10, 2023 Ron Geffner quoted in ETF Central Article
- July 10, 2023 Ron Geffner shares insights in The Hedge Fund Law Report Article
- June 13, 2023 Ron Geffner quoted in CoinDesk article
- June 12, 2023 Ron Geffner explains the crackdown on cryptocurrency on Marketplace
- June 12, 2023 Ron Geffner makes appearance on NTD Television News to discuss the SEC’s lawsuit against Binance
- June 12, 2023 Ron Geffner quoted in US News and World Report article
- May 30, 2023 Ron Geffner discusses family offices' investing preferences in Crain Currency article
- May 23, 2023 Ron Geffner quotes in BeinCrypto article "Leaked Democratic Lawmakers’ Memo Fuels Alarm in the Crypto Industry"
- May 1, 2023 Mark Streffling Joins Sadis & Goldberg
- March 15th, 2023 Ron Geffner Quoted in Fortune Article - Investing pros give their take on investing amid high inflation and bank failures
- January 18th, 2023 Ron Geffner Quoted in New York Post Article - TurboTax, QuickBooks owner slammed after MailChimp data breach
- October 14, 2022 Nine Sadis & Goldberg Attorneys Selected as New York Super Lawyers 2022
- October 4, 2022 Ron Geffner Quoted in New York Post Article - Kim Kardashian could be ‘tip of the iceberg’ for celeb crypto crackdown
- June 8, 2022 Ron Geffner Quoted in GOBanking Rates Article - Bipartisan Crypto Bill to Create Regulatory Framework Receives Industry Praise
- April 25, 2022 Ron Geffner Quoted in Institutional Investor Article - Bill Browder Warned Westerners Not to Take Russian Oligarch Money. They Should Have Listened.
- April 6, 2022 US Digital Assets Awards 2022 – Sadis wins Best Law Firm – Fund Structuring
- April 5, 2022 Ron Geffner Quoted in GOBanking Rates Article - SEC Chair Gary Gensler Seeks to Regulate Crypto Platforms ‘Like Exchanges’
- March 10, 2022 Ron Geffner Quoted in New York Times Article - How Western Firms Quietly Enabled Russian Oligarchs
- March 10, 2022 Sadis & Goldberg Winner in 2022 Hedgeweek US Digital Asset Awards
- January 27, 2022 Digital US Dollar By 2025? ‘Inevitable Evolution’ Could Come Quickly —GOBankingRates Article

- December 3, 2021 Stablecoin Regulation Could Bring ‘Level of Certainty’ to Bank Stocks — Which To Keep an Eye On —GOBankingRates Article
- December 2, 2021 Sadis Awarded Best Law Firm at the Hedgeweek US Awards
- November 3, 2021 Congressional Stablecoin Regulation Requested in Latest Report
- October 27, 2021 Nine Sadis & Goldberg Attorneys Selected as New York Super Lawyers 2021
- October 12, 2021 SEC Chair Gensler’s 49 Proposed Regulations - GoBankingRates Article
- October 7, 2021 The Clarity for Digital Tokens Act of 2021 - GOBankingRates Article
- August 5, 2021 Crypto Regulation Will Help Pay for Infrastructure Bill, SEC’s Gensler Compares Industry to ‘Wild West’
- July 23, 2021 Robinhood Shelled Out \$30 Million to Hire This Ex-Lawmaker – Inside Crypto’s Regulatory ‘Revolving Door’
- December 15, 2020 Nine Sadis & Goldberg LLP Attorneys Selected as New York Super Lawyers
- October 11, 2019 Sadis Wins Hedgeweek US Awards 2019 “Best Law Firm”
- June 24, 2019 The Current Status of Cannabis Legalization
- June 18, 2019 Sadis & Goldberg Formalizes Practice Group for Clients Navigating the Cannabis Industry
- May 13, 2019 Cannabis Craze Faces Regulatory Changes, Including A Key Bill
- April 18, 2019 Cannabis Federal Legislative Update
- March 28, 2019 Sadis & Goldberg Named Best Global Law Firm at Hedgeweek Global Awards 2019
- March 15, 2019 Ron Geffner (Partner) Appeared on CNBC’s The Closing Bell
- February 28, 2019 Sadis & Goldberg LLP named the Best Law Firm at the Alt Credit Intelligence US Service Awards
- October 16, 2018 Sadis & Goldberg – Best North American Law Firm
- September 13, 2018 Sadis & Goldberg LLP Attorneys Selected to the 2018 New York Super Lawyers and Rising Star Lists
- January 2, 2018 Sadis & Goldberg Expands Investment Funds and Corporate Practices