



PARTNER

SAM LIEBERMAN

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Sam Lieberman is Co-Head of Sadis's Litigation department. He is a tenacious trial lawyer who consistently delivers successful results for high-profile plaintiffs and defendants in securities and business disputes. He regularly represents clients in high-stakes securities, M&A, shareholder rights, blockchain technology, RMBS, and business divorce litigation. He regularly defends clients in investigations by the SEC, FINRA, U.S. Attorneys' Office, and other regulators.

On the Plaintiffs' side, Sam has obtained well over \$100 million in judgments and recoveries for clients. As defense

counsel, Sam has repeatedly won full dismissal of claims at the pleading stage and at trial, and has had success persuading government regulators to close investigations without bringing any charges. Sam has been recognized as a leading lawyer or profiled by *SuperLawyers*, *Martindale-Hubbell* (AV-rated), the *American Lawyer*, and the *National Law Journal*. He began his career at Cravath, Swaine & Moore, after graduating Columbia Law School as Senior Editor of the *Law Review*.



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PRACTICE AREAS

Securities
Regulatory Compliance & Enforcement Defense
Investment Management Issues
Services for Registered Advisers
SEC & FINRA Mock Audits and Compliance Reviews
Cryptocurrency & Digital Assets
Litigation, Arbitration & Investigations
Corporate Governance
Defense of SEC and FINRA Enforcement Proceedings
Business Divorce & Shareholders Rights

EDUCATION

J.D., Columbia University, 1999, Kent Scholar; Senior Editor, Columbia Law Review
B.A., State University of New York (SUNY) Binghamton, 1996

ADMISSIONS

New York

COURT ADMISSIONS

U.S. District Court: Southern District of New York
U.S. District Court: Eastern District of New York
U.S. Court of Appeals: Second Circuit
U.S. Court of Appeals: Third Circuit
U.S. Court of Appeals: Fifth Circuit
U.S. Court of Appeals: Ninth Circuit
U.S. Supreme Court

MEMBERSHIPS & AFFILIATIONS

- Member of the American Bar Association, Litigation Section, Securities Litigation Committee

- Member of the Association of the Bar of the City of New York
- Member of the American Technion Society's Leadership Development Committee

HONORS & AWARDS

- American Lawyer Litigator of the Week Runner up, April 22, 2019
- New York Super Lawyer, Securities Litigation, 2018-2021; Rising Star 2013-14
- Rated AV Preeminent, Martindale-Hubbell
- National Law Journal's Appellate Hot List 2010, Recognized
- 2024 Chambers & Partners New York Regional Dispute Resolution Spotlight

Experience

SECURITIES LITIGATION & INVESTOR RIGHTS

- Won \$57.7 million trial victory as lead counsel for private equity investors in appraisal action. Won a valuation that was 25 times higher than trading price of merger consideration on date of judgment, three times higher than the opposing party's position, and a substantial premium to deal price.
- Won Arbitration defeating \$35 million claim against Digital Assets-focused hedge fund and Cryptocurrency founder.
- Won \$25 million as lead counsel for hedge fund alleging fraudulent valuation of mortgage-backed securities. Forced favorable settlements by defeating motions to dismiss and developing evidence exposing Defendants to significant liability.
- Won Tens of Millions of dollars in favorable rulings & settlements as lead counsel for large hedge funds in disputes over the allocation of write-ups and deferred principal payments for residential mortgage backed securities (RMBS) trusts;
- Won \$12.1 million for investors as co-lead counsel in related M&A fiduciary duties & appraisal actions, including winning appraisal action trial and partial summary judgment in M&A fiduciary duties action. Obtained 195% premium over deal price.
- Won dismissal of \$6 million claim and award of attorneys' fees, by enforcing Full Ratchet anti-dilution provision in Convertible Note.
- Won over \$3.7 million as lead counsel for family office investor in securities litigation over hedge fund investment, after winning Second Circuit appeal reinstating case and ordering trial.

BROKER & BLOCKCHAIN ARBITRATION/DISPUTES

- Won recovery of \$8 million in Pokadot (DOT) cryptocurrency tokens for a hedge fund from a former officer.
- Won three of the five largest FINRA Arbitration awards ever against Interactive Brokers, at the time, including:
 - \$2.4 million FINRA arbitration award for VIX Futures trader arising out of broker's misstatement of settlement date.
 - \$1.9 million FINRA arbitration award for hedge fund arising from the broker's inability to trade WTI Oil Futures at negative prices.
- Won millions of dollars in arbitration awards and favorable settlements for customers against brokers-dealers arising from a range of improper practices.

GOVERNMENT INVESTIGATIONS

- Successfully defended Managing Director of investment bank against SEC insider trading claims. Won rare summary disposition dismissing part of SEC's case. Won favorable settlement reducing nine fraud claims to one negligence claim, with no suspension.

- Successfully defended executives of multi-billion dollar hedge fund in U.S. Attorneys' Office and SEC investigation into valuation fraud. No charges filed.
- Representing fund portfolio manager and venture capital fund in separate ongoing SEC and FINRA investigations focused on cryptocurrency trading and valuation.
- Successfully defended CIO of \$1 Billion-plus investment adviser in SEC investigation into misallocation of expenses and soft-dollar credits. Obtained termination notice with no charges filed against client.
- Successfully defended broker-dealer and CEO in FINRA investigation into front-running and cross-trading. Obtained letter of caution with no money sanctions, even after S.E.C. referred the investigation to FINRA Department of Enforcement.
- Successfully defended CEO of broker-dealer in SEC insider trading investigation. S.E.C. closed investigation without bringing any claims.

News

- August 26, 2026 Sadis Wins Final Approval of \$21 Million Settlement for Dangdang Stockholders
- August 21, 2026 \$21M Deal Confirmed In Chinese E-Commerce Merger Suit
- July 17, 2026 Sadis & Goldberg ranked in Legal 500
- May 7, 2026 Sadis & Goldberg LLP Announces Pendency and Proposed Settlement of Class Action Involving E-Commerce China Dangdang Inc. American Depositary Share Holders
- May 7, 2026 Sadis & Goldberg Wins Preliminary Approval of \$21 Million Settlement of Action Challenging Fairness of E-Commerce China Dangdang Going-Private Merger
- November 19, 2025 Sam Lieberman joined a panel at Blockchain Futurist Conference 2025
- October 28, 2025 Sadis & Goldberg wins major victory in Class Action Arbitration Case
- September 25, 2025 Sadis & Goldberg published in Law360 discussing SEC suit
- September 25, 2025 Sadis & Goldberg's favorable S.E.C. settlement for investment adviser featured in Law360.
- September 25, 2025 Sadis & Goldberg's favorable S.E.C. settlement for Private Equity Fund featured in Law360.
- January 16, 2025 Sadis & Goldberg Successfully Defends SEC Investigation Against Clients: No Charges Filed
- October 23, 2023 Sadis wins Two Major Victories for RMBS Investors
- October 16, 2023 Bloomberg Law Discusses Sadis Victory
- October 16, 2023 Sam Lieberman quoted in New York Law Journal article
- November 14, 2022 U.S. District Court Finds LBC Token a Security
- October 14, 2022 Nine Sadis & Goldberg Attorneys Selected as New York Super Lawyers 2022
- September 7, 2022 The Mysterious London Traders Accused of Manipulating Oil Markets — and the Anonymous Hedge Fund, Rare- Coin Expert, and Day Traders Who Are Fighting Back
- July 19, 2022 Sadis & Goldberg Retained to Investigate Allegations of Accounting Fraud at Meihua International Medical Technologies Co., Ltd. (MHUA)
- April 27, 2022 Sadis Obtains Termination of S.E.C. Investigation of Blockchain Venture Capital Fund
- October 27, 2021 Nine Sadis & Goldberg Attorneys Selected as New York Super Lawyers 2021
- October 26, 2021 Sadis Wins \$1.9 Million Award For Hedge Fund Client Against Interactive Brokers
- May 6, 2021 Introducing The Sadis Summons
- February 23, 2021 Sam Lieberman Named Co-Head of Sadis's Litigation Practice
- January 29, 2021 2020 Top Business Valuation and Damages Cases
- January 15, 2021 Investors Tell Del. Justices Tech Co. Low Balled Appraisal
- December 15, 2020 Nine Sadis & Goldberg LLP Attorneys Selected as New York Super Lawyers
- January 21, 2020 James Ancone Promoted to the Title of Partner
- August 13, 2019 Sadis Defeats Motions to Dismiss Key Claims in Premium Point Lawsuit
- April 22, 2019 Sam Lieberman (Partner) was named Litigator of the Week Runner Up
- April 13, 2019 2nd Circuit Reverses Dismissal in Favor of Chinese E-Commerce Giant on Forum Grounds

- September 13, 2018 Sadis & Goldberg LLP Attorneys Selected to the 2018 New York Super Lawyers and Rising Star Lists
- September 10, 2018 Sadis Successfully Represents Whistleblower in SEC Enforcement Action Against Leading Investment Adviser MFS for Misleading Marketing Materials
- August 8, 2018 Inside the Charges Facing New York Rep. Chris Collins
- June 25, 2018 SkyBridge Sues Premium Point Investments, its Top Officers, and Administrator
- March 19, 2018 Sadis & Goldberg LLP Obtains Victory for Bareburger Co-Founder: A Cautionary Tale for Business Divorce
- October 9, 2017 Sadis & Goldberg's Regulatory and Compliance, Financial Services & Litigation Groups Form Mock Audit Group to Focus on SEC and FINRA Mock Audits and Compliance Reviews