



PARTNER

MARK STREFLING

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Mark Strefling is recognized as an industry-leading investment management lawyer with over two decades of experience in the alternative investment industry.

Mark's practice includes the specialized representation of private fund managers in the design and formation of complex and innovative fund structures, the marketing and distribution of fund interests, the design of comprehensive compliance programs, and the representation of managers during critical regulatory examinations and investigations. Mark also partners with managers to deliver compliance training and education, mock examinations, regulatory and compliance gap analysis, and critical CCO regulatory support.

In addition to his role at Sadis, Mark is also the co-founder of altPILOT LLC, a regulatory compliance consulting firm created with the mission to deliver innovative compliance solutions to the alternative investment management industry. Through its service offerings, altPILOT offers managers a holistic approach to regulatory compliance - partnering with clients to deliver customized solutions which expand business opportunities and help managers realize efficiencies from properly implemented compliance work flow.

Mark's prior experience includes serving as the Chief Executive Officer (CEO) and Chief Legal Officer (CLO) of Whitebox Advisors LLC, a US\$6 billion global alternative asset manager with offices in the United States, the United Kingdom and Australia. In this role, Mark served as the legal and regulatory compliance subject matter expert, was responsible for the strategic leadership of global legal, compliance and operations, the alignment of legal and business strategy, and the design of complex fund products and comprehensive compliance and operational control solutions.

Prior to his in-house role, Mark was a Partner in the Investment Management Practice at Faegre Drinker Biddle & Reath LLP (formerly, Faegre & Benson LLP), leading the firm's hedge fund practice group, pioneering innovative private fund structures, and representing managers in critical regulatory compliance matters. In this role, Mark also pioneered early legal due diligence initiatives and developed innovative strategies to protect and establish investor rights.

Mark began his career in the investment management industry as a Tax Specialist at KPMG LLP, where he advised private and regulated funds on US and international tax compliance, tax-optimized organizational structures and complex securities transactions and investment strategies.

PRACTICE AREAS

Regulatory Compliance & Enforcement Defense
Investment Management Issues
Services for Registered Advisers
SEC & FINRA Mock Audits and Compliance Reviews
Investment Funds
Opportunity Zone Funds
Life Settlement Funds
Real Estate Funds
Venture Capital Funds
Private Equity & Venture Capital Legal Services
Hedge Funds

EDUCATION

J.D., Creighton University School of Law, magna cum laude
M.B.A., University of Minnesota, Carlson School of Management, Finance
B.A., University of St. Thomas, cum laude, Financial Management, Accounting

ADMISSIONS

Minnesota

News

- May 1, 2023 Mark Strefling Joins Sadis & Goldberg